

Section
\$1

PATENT
29888/36772A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Raviv et al.

Serial No.: 09/901,244

Filed: July 9, 2001


For: Ear Probe Tip

Art Unit: 3736

Examiner: To be assigned

) I hereby certify that this paper is
) being deposited with the United
) States Postal Service as first class
) mail, postage prepaid, in an
) envelope addressed to: Assistant
) Commissioner for Patents,
) Washington, D.C. 20231, on this
) date:

) October 30, 2001

) 
) Jennifer P. Goetsch
) Reg. No. P-49,068
) Attorney for Applicants

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents
Attn: Initial Patent Examining Division
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of
Nonprovisional Application" mailed July 30, 2001, enclosed please find a
copy of a "Declaration for Patent Application and Power of Attorney"
document executed by Gabriel Raviv, Ron Rolfsen and Robert Tarasewicz,
in connection with the above-identified continuation application of prior
application serial no. 09/225,754, filed on January 5, 1999. Additionally,

please find enclosed a substitute specification as is required under 37 C.F.R. §1.52. A copy of the Notice is also enclosed.

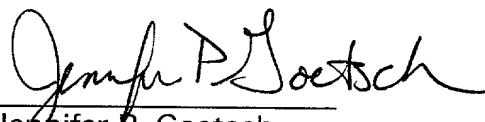
The declaration and specification are being submitted after the two month time period for response but within the first month of extension. The required surcharge fee of \$65.00 under 37 C.F.R. § 1.16(e) and the fee for the one month extension under 37 C.F.R. § 1.17(a) are enclosed herewith. Also, please note that small entity fees are being submitted in accordance with the Verified Statement Claiming Small Entity Status and Request for Refund being submitted herewith.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees with may be required to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Respectfully submitted,

MARSHALL, GERSTEIN, & BORUN
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6402
(312) 474-6300

October 30, 2001

By: 
Jennifer P. Goetsch
Reg. No. P-49,068



PATENT

Attorney's Docket No: 29888/36772A

Applicant or Patentee: Gabriel Raviv, et al.
Serial or Patent No: 09/901,244
Filed or Issued: July 9, 2001
For: Ear Probe Tip

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) -- SMALL BUSINESS
CONCERN**

I hereby declare that I am

- ☐ The owner of the small business concern identified below:
- ☒ An official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Bio-logic Systems Corp.

ADDRESS OF BUSINESS One Bio-logic Plaza, Mundelein, IL 60060

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121 for purposes of paying reduced fees to the United States Patent and Trademark Office in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to, and remain with, the small business concern identified above with regard to the invention, entitled Ear Probe Tip, by inventor(s) Gabriel Raviv, Ron Rolfsen, and Robert Tarasewicz

described in

- ☐ The specification filed herewith.
- ☒ Application Serial No. 09/901,244, filed July 9, 2001.
- ☐ Patent No. _____, issued _____.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: *Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).*

NAME: _____
ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: _____
ADDRESS: _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Gabriel Raviv

TITLE OF PERSON OTHER THAN OWNER: Chairman/CEO

ADDRESS OF PERSON SIGNING: One Bio-logic Plaza
Mundelein, IL 60060

SIGNATURE: 

Date: 10/3/01



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/901,244	07/09/2001	Gabriel Raviv	29888/36772A

CONFIRMATION NO. 8384

04743

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN
6300 SEARS TOWER
233 SOUTH WACKER DRIVE
CHICAGO, IL 60606-6402

FORMALITIES LETTER



OC000000006361146

Date Mailed: 07/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

01/10/2002 CVDRAHA 00000016 09901244

01 FC:205 65.00 DP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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THE UNIVERSITY OF CHICAGO

RECEIVED
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USPTO MAIL CENTER

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